

# The Impact of Web Service Integration on Grid Performance

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## Abstract

*The past few years have seen an increasingly tight link between grid computing and web services, with the latest standards defining a grid computing architecture as a set of services built using web services standards and protocols. However, the reputation of these technologies (SOAP, XML, WSDL, HTTP) is that they are heavyweight and slow, something that is potentially a concern given the current and anticipated application mix for high performance grid architectures. This paper reports the results of a performance evaluation carried out on Globus 3.9.4, a reference implementation of the new GGF standards that are built on the Web Services Resource Framework (WSRF). The evaluation approach combines low interference measurement (black box) techniques with more sophisticated sampling-based profiling (gray box) techniques. The results indicate possible opportunities for optimization, as well as provide useful input for the designers of grid services.*

## 1. Introduction

Grid computing is increasingly evolving towards a Service-Oriented Architecture (SOA). The recent addition of the new Web Services Resource Framework (WSRF) [3] for modeling and accessing stateful resources is in many ways the final step needed to transition grid computing fully into an SOA, with service interactions and other functionality implemented using a web services foundation. With this transition, everything built using the OGSA (Open Grid Services Architecture) specifications becomes a collection of services [2]. These include both infrastructure services provided by the underlying grid computing environment, and application services built on this infrastructure. For example, the OGSA architecture defines infrastructure services

<sup>1</sup>This work was performed while François Taïani was visiting AT&T Shannon laboratory on the INRIA Postdoc Scholarship Program.

such as an Execution Planning Service and an Authorization Service, while users of this architecture can implement their own applications as services such as perhaps a Simulation Service.

While there are many appealing aspects of SOAs in general and WSRF in particular, web services standards and their associated protocols have the reputation of being slow and heavyweight. This expectation stems from a number of factors, but the ones most commonly cited are a reliance on XML and the inherent execution penalty that results when multiple layers of software are needed to realize functionality as is the case here. Grid computing, on the other hand, focuses on sharing computing resources in contexts where maximum performance is often the goal. The fundamental tension between these two argues, at the very least, for a close examination of the performance impact of the use of web services for grid computing applications.

In this paper, we explore these potential performance issues by evaluating Globus 3.9.4, a reference implementation of this new grid computing architecture. To do this, we apply a two step approach:

- *Black box testing.* We first measure end-to-end latencies on the client for a number of different remote operations. Much of our effort in this first step focuses on selecting appropriate initial conditions to evaluate the importance of delayed (“lazy”) initialization, both at the Globus container (i.e., server) and at the client.
- *Gray box testing.* In the second step, we use Java profiling capabilities to obtain a more detailed picture of the container’s behavior. The main challenge here is to find an appropriate way to *relate* the performance data to the unknown structure of the container, and to *represent* it in a useful and intuitive way.

The choice of a two-step approach is motivated by the inherent tension between granularity and accuracy in performance profiling. By adopting a progressive approach moving from black box to gray box profiling, we are able to

control the interference caused by the observation, and correlate the results obtained by the different stages of our experiments.

The remainder of this paper is organized as follows. Section 2 presents the results from our series of black box experiments. Section 3 then focuses on the sampling-based profiling experiments. Finally, section 4 offers conclusions and plans for future research.

## 2. Black Box Experiments

### 2.1. Overview

The Globus Java implementation provides a client/server architecture based on the concept of *containers*, where a container hosts grid services that clients can invoke through a SOAP/WSDL interface. As described above, Globus uses the new Web Services Resource Framework (WSRF). WSRF allows grid services to create, manipulate, and delete *resources*, i.e., stateful objects. Globus also offers a number of mechanisms associated with resources, e.g., *notifications* that alert subscribers each time the state of a given resource is modified.

The Java implementations of Globus, and more generally the web services framework, are characterized by their extreme flexibility. Service implementations are loaded on demand in the Globus container using numerous customization files (e.g., deployment files, service description files) that are written in various XML dialects, such as the *Web Service Definition Language*, the *Web Service Deployment Descriptor language*, and the *Resource Specification Language*. Because of this flexibility, many initialization steps do not occur until they are actually required. As a consequence, the first execution of an operation usually takes much more time than its successors.

An additional related issue is that, because of the numerous layers of initialization involved on both the client and the container, even the notion of being the “first” can be complex, with numerous sub-cases. For example, a request can be the first one that a client sends and the first one that a Globus container receives if the client *and* the container have just been started, or it can be the first one sent by a client while being the tenth received by a container. In general, three “entities” have to be created: the *container*, the *client*, and the *resource*. Each of these can incur its own on-demand initialization cost, which is reflected in longer latencies.

### 2.2. Experiment Description

Our results are based on the example *CounterService* provided with Globus to illustrate the use of WSRF. This service maintains *Counter* resources, which can be created,

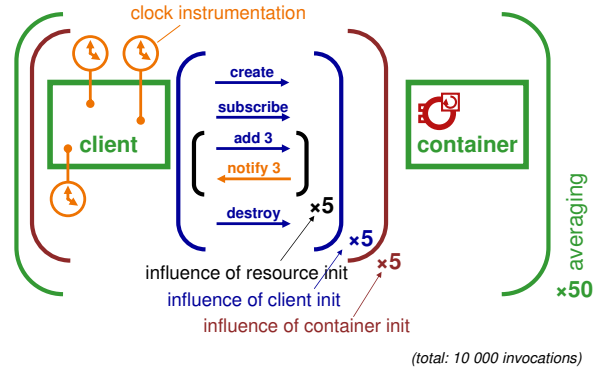


Figure 1. Experimental Sequences

incremented by a specified number, queried, and destroyed. We use the unmodified *CounterService* implementation, but instrument the provided client code to record execution latencies for different operations related to accessing the service.

To evaluate the influence of the different initialization steps, we measure end-to-end latencies for a number of different invocation sequences (figure 1). These sequences have been constructed as variants of the following series of interactions:

1. A Globus container process is started. This corresponds to the right box in figure 1.
2. A client process is started (the left box).
3. The client requests the container to create a counter resource (the circle inside the container). This step involves a number of smaller steps that are specific to Globus and the underlying SOAP protocol (creating an endpoint, creating a locator, invoking the locator, sending the actual *create* request, and eventually retrieving the counter port).
4. The client subscribes to changes that might occur in the new resource using the WSRF notification service (*subscribe*). We measure both the preparation of the subscription request—creating the request and initializing a client side notification manager—and the round-trip time needed by the container to process the subscription.
5. A series of five *add* requests on the resource are sent to the container. The client waits for each request to complete and for the change notification to arrive before issuing the next request. Both the request and notification latencies are measured from the point the *add* request is sent.
6. The resource is destroyed by the client.
7. The client is stopped.
8. The container is stopped.

In this basic sequence, the repetition of the *add* operation in step 5 serves two purposes. First, it makes it possible to determine that lazy initialization is in fact used by verifying that the latency of the first request differs substantially from the remaining ones. Second, it allows the corresponding overhead to be calculated by subtracting from the latency of the first request the stabilized latency of the remaining requests.

Just using the above sequence is not sufficient, however. Because the container, client, and resource are all new when the first *add* request is issued, it is not possible to tell what proportion of the measured initialization cost is caused by the container, the client, or the resource, respectively. To assess the relative influence of each of these entities, we extend this original sequence in the following manner. First, steps 3 to 6 are repeated five times by having the same client successively create five resources, and sending multiple *add* requests to each of them. Then, steps 2 to 7 are also repeated five times by creating five successive clients, which interact with the same container (see figure 1). Finally, because latencies are not deterministic, we repeat the whole sequence 50 times—hence, successively launching 50 containers—to obtain averaged data.

By nesting these repeated sequences, our different experiments cover four essential cases:

- Case 1.** All 3 entities (container/client/resource) are new.
- Case 2.** Only the client and the resource are new.
- Case 3.** Only the resource is new.
- Case 4.** No entity is new (stabilized latencies).

Thus, these cases provide the different combinations that are needed to apportion the startup overhead among the container, the clients, and the resources. These experiments also allow us to evaluate the initialization effect on each basic operation involved in the sequence (resource creation, notification management, remote invocation).

All latencies are round-trip latencies measured at the client. The experiments were carried out on a standalone SMP machine running four 1.60GHz Intel Xeon processors. The reported times do not contain any actual network cost—they reflect only the local processing cost of Globus invocations.

## 2.3. Results and Discussion

### Resource Set Up Latency

Figure 2 shows the latencies measured when setting up a resource, including creation and subscription, in situations covered by the first three cases mentioned above. Averaged times are shown for five successive clients interacting with the same container (numbered 0 to 4) when each client successively initializes five resources (numbered 1 to 5).

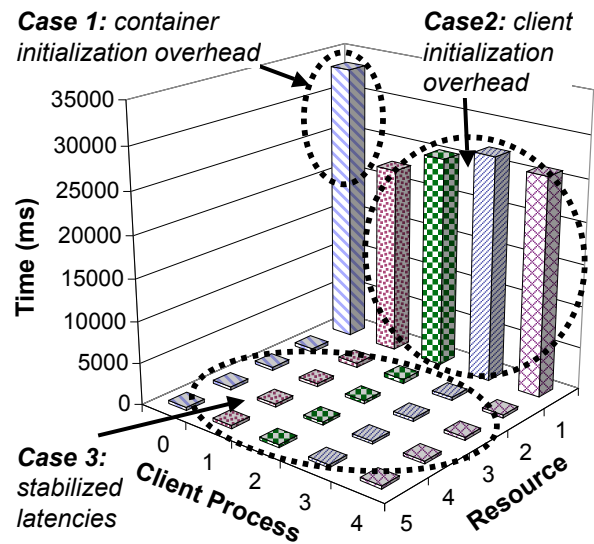


Figure 2. Resource set up latency

In this figure, the unstabilized latencies of Cases 1 and 2 definitely stand out when compared with the stabilized latencies of Case 3. Whereas the average stabilized initialization latency is 384 ms, the first resource of the first client (Case 1) requires more than 33 s to be initialized and the first resources of the other clients (Case 2) require 25 s. Clients send creation requests only after both they and the container have completed their initialization, i.e., both UNIX process are idle. As a result, the discrepancy in the observed latencies can only be explained by work associated with lazy initialization occurring inside the client and the container.

From the three different cases, we can also infer the relative influence of the container and the client on the lazy initialization effect. Table 1 presents a more detailed breakdown of the time spent in each individual step of the resource initialization for the three different cases. By subtracting Case 3 (stabilized latencies) from Case 2 where the client is new but the container is not, we can determine how much of the overhead is caused by the client being new. Similarly, by subtracting Case 2 from Case 1 where the client and container are both new, we obtain a measure of overhead caused by the container having just been instantiated. Because the times shown are averaged, overheads that are below 10 ms and/or roughly below 10% of the highest value are not statistically significant, since they fall below the standard deviation of the averaged values.

Note that there are certain things that we can *not* conclude from these measurements. For example, it would be incorrect to conclude that the container spends 8236 ms executing initialization code when it creates a resource for the

	New Client New Container <i>Case 1</i>	New Client Old Container <i>Case 2</i>	Stabilized Latencies <i>Case 3</i>	Container Init Overhead	Client Init Overhead
<i>Creating Endpoint</i>	44	46	0	-2	46
<i>Creating Locator</i>	1509	1489	14	20	1475
<i>Invoking Locator</i>	343	348	0	-5	348
<i>Sending Create Request</i>	30273	22382	159	7891	22223
<i>Obtaining Counter Port</i>	1	1	0	0	1
<i>Preparing Subscription</i>	711	705	38	6	667
<i>Sending Subscription Request</i>	541	213	168	328	45
<b>Total</b>	<b>33425</b>	<b>25189</b>	<b>384</b>	<b>8236</b>	<b>24805</b>

**Table 1. Detailed resource init latencies (ms), with an evaluation of the lazy evaluation overheads caused by the container and the clients (rounded results)**

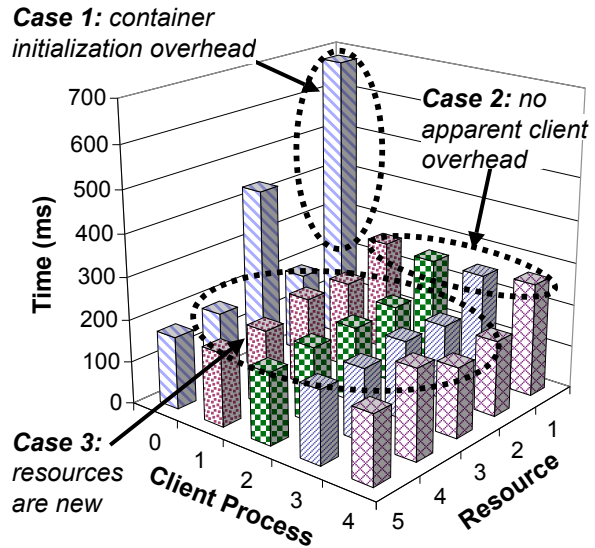
first time, even though this figure is listed as the container initialization overhead in Table 1. It might be, for instance, that the client has to carry out some extra work because the container is new.

The table also highlights the relative weights of the creation and subscription operations in the latencies and in the lazy optimization overheads. Under the surface, a subscription causes the subscriber to be turned into a web service provider (i.e., a server). A valid assumption would be that some of the observed lazy initialization caused by the client is in fact triggered by the subscription. Looking at the table, this is indeed the case, since the subscription preparation takes up to 667 ms longer—more than 17 times the stabilized value—when the client is new and the container has essentially no influence on it. However, 97% of the observed initialization overhead (31997 ms) is caused by the first five steps of the resource initiation, which is not concerned with the notification subscription. 25% of this overhead (7904 ms) can be attributed to the client, while the remaining 75% (24093 ms) is attributable to the container.

### Request Latency

Figures 3 and 4 show measured latencies for *add* operations on the resource. Figure 3 illustrates the same combinations as figure 2 for the first *add* request on each of the 25 resources (i.e., 5 resources for each of the 5 clients). This figure covers Cases 1 to 3. Figure 4 shows the latencies of the 25 *add* requests made by the *first* client only (i.e., 5 requests on each of the 5 resources the client creates). This second figure covers Cases 1, 3, and 4. Note that the data in the figures overlap: the Client 0 row of the Case 3 area in figure 3 is duplicated in figure 4.

As with resource latencies, we can infer from figure 3 that some lazy initialization is triggered by the container when an *add* request is executed for the first time, the effect being roughly 421 ms. On the other hand and contrary to



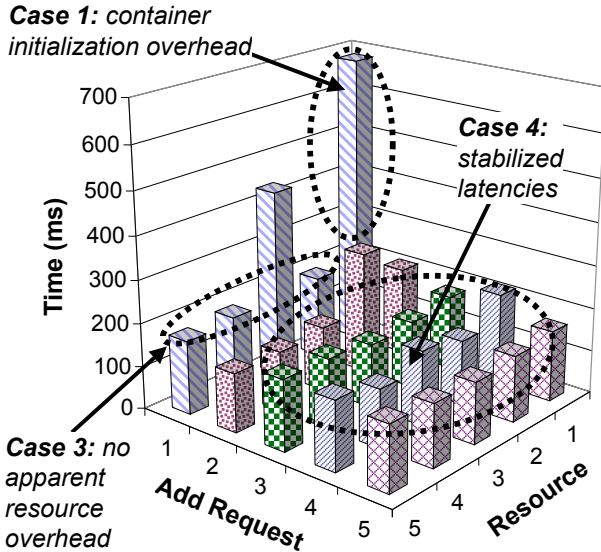
**Figure 3. Latency of the first add command**

resource initialization, the clients do not seem to cause any significant lazy initialization; the computed overhead here is 66 ms, which is not statistically significant. This figure does not cover Case 4 of our classification, and hence, does not yield any information about resource-related initialization. Figure 4 covers this case, and shows that there is no resource related overhead for *add* requests.

A valid question is whether the time distribution shown in figure 4 for Client 0 holds for the other clients. In fact, it does, as table 2 shows. This table summarizes the average latencies for the *add* requests and change notification across all experiments for the four cases mentioned in section 2.2. In this table, the stabilized latencies for *add* requests take all clients into account and are similar to the latency measured for Client 0 only (159 ms). The last three columns of

	New Cont. New Client New Res. <i>Case 1</i>	Old Cont. New Client New Res. <i>Case 2</i>	Old Cont. Old Client New Res. <i>Case 3</i>	Old Cont. Old Client Old Res. <i>Case 4 (stable)</i>	<i>Cont. Init Overhead</i>	<i>Client Init Overhead</i>	<i>Res. Init Overhead</i>
<i>Add latency</i>	682	261	194	159	421	66	36
<i>Notification</i>	3029	2597	1110	176	432	1487	934

**Table 2. Request and notification latencies (ms), with an evaluation of the lazy evaluation overheads caused by the container, the clients, and the resources (rounded results)**



**Figure 4. All add requests of Client 0**

the table attempt to evaluate how much lazy initialization is triggered by each of the entities. These numbers clearly illustrate the lazy-initialization effect related to resources for change notifications. Specifically, while the average stabilized latency for notification is 176 ms (Case 4), the first notifications for Resources 2 to 5 (Case 3) take an average of 1110 ms. Hence, the overhead is approximately 934 ms.

Quite surprisingly, the second highest overhead (934ms) seems to happen when resources have just been created. This hints that much of the initialization work such as deployment and hot-plugging occurs when a resource is actually instantiated, and is repeated regardless of the use of prior resources of the same type.

## 2.4. Summary

As demonstrated above, the latencies experienced by the client are heavily influenced by the initialization state of the different entities involved. The first notification sent by a container experiences a 1700% overhead (3029 ms) over the stabilized latencies for the same operation (176 ms). Simi-

larly, the creation of a resource takes 8700% longer the first time it is invoked compared to when the system has stabilized (33425 ms compared to 384 ms). As already noted, all latencies are measured after the container and client have completed their initial set up, meaning that the overhead must be caused by this delayed initialization activity. In addition, the experiments show that even the stabilized latencies are quite high: 159 ms for a round-trip *add* request and 176 ms for a notification message. We point out again that these figures do not include any network delays since both the client and the service are on the same machine.

## 3. Gray Box Profiling Experiments

### 3.1. Overview

To better identify the nature of the lazy initialization steps, we conducted a series of experiments in which the Globus container was examined using sampling-based profiling. The objective of these experiments was to relate the externally-observed latencies with the software structure of the Globus container. To do this, we used a well-known profiling method based on sampling and also adapted known visualization techniques to represent our results. This process was challenging, however, largely because Globus and all the required infrastructure needed to execute it constitute a large and complex collection of software that is difficult to analyze.

In the following, we first describe our experiments, including how the sampling-based profiling is performed and the results visualized. We then present results from initial tests.

### 3.2. Experiment Description

Sampling-based profiling consists of periodically stopping a program's execution to capture its current control state [11, 1]. The granularity of the obtained data is usually coarser than with approaches based on an exhaustive instrumentation of the source code, but such sampling-based approaches are easier to deploy and impose far less overhead. The motivation for using this approach in this case stemmed

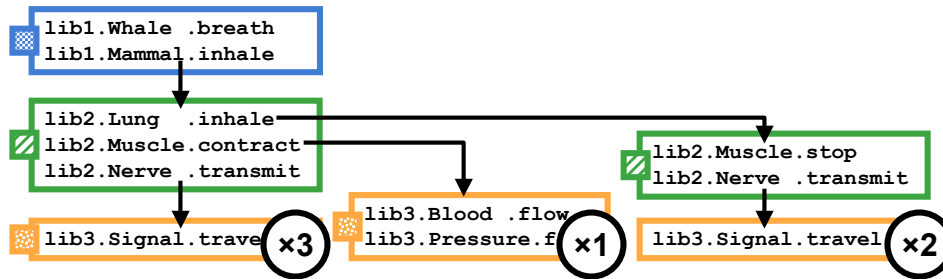


Figure 5. An example sampling result

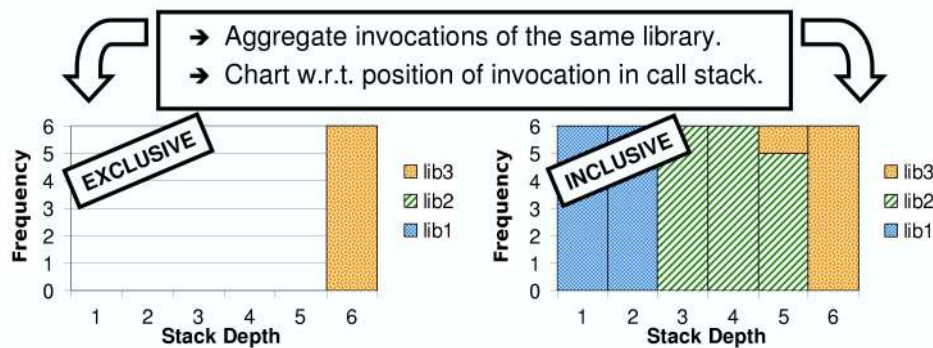


Figure 6. Graphical projection of figure 5

from early experiments that showed that a sequence like the one used for our black box experiments (section 2.2) causes several *million* local Java invocations in the client and the container. In particular, using the same sequence described there but with only three *add* invocations, we traced 1,544,734 local Java invocations at the client, and 6,466,652 at the server using the Java Debugging Interface API [10].

For our profiling experiments, we used SUN’s free Java profiler *hprof* [5]. When run in sampling mode, *hprof* regularly interrupts the JVM and captures the stack trace of the current active thread. When the JVM terminates, *hprof* outputs a set of weighted stack traces. The weight of each stack represents the number of times *hprof* found the active thread executing this given stack.

Figure 5 illustrates how sampling-based profiling works on a small example. In this figure, the program has been sampled six times, and three different stacks have been captured (the stacks are shown in a call graph for clarity). In 3 out of the 6 samples, the active thread of the program was executing the first stack; in one sample, it was executing the second; and in two samples, the third. As can be seen, using stacks to capture the program’s execution state indicates which methods the program is actually executing. Here, this means *lib3.Signal.travel* in half of the samples, *lib3.Pressure.foo* in one sixth of the samples, and

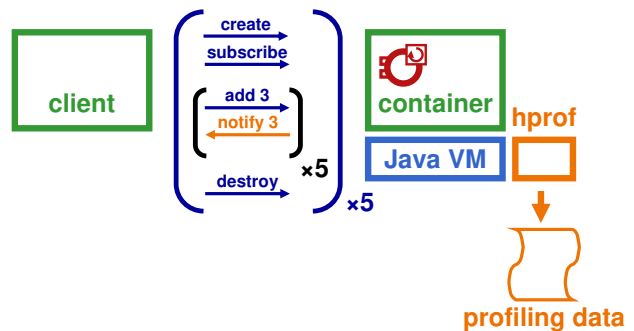


Figure 7. Experimental sequences

*lib3.Signal.travel* is one third of the samples. Most importantly, it also indicates *on behalf of whom* these methods are being executed. This allows the computation for each method of an *exclusive execution time*—the time spent in the method, exclusive of any nested calls—and an *inclusive execution time*—the sum of the exclusive execution time for the method and the time spent in each of the nested calls made by the method [4].

Once the experiment is run, the second issue is to represent the results in such a way that they can be used to provide useful insight about the software. The primary

challenge is dealing with the volume of data. For example, in the experiments reported below, we ran the workload shown in figure 7 on the Globus container and obtained 2896 samples from *hprof*, including 1745 individual stack traces. These stacks total more than 55550 individual stack frames (a frame in a call stack represents a method invocation). They cover the activity of 32 threads, and contain references to 1861 methods, 724 classes, and 182 different Java packages. While these results are far less dramatic than the millions of invocations uncovered by our first tracing attempt, the amount of data generated is still significant.

Our approach is to adapt visualization techniques proposed for software visualization [7, 6, 8] to this context. Specifically, our approach is inspired by one of the techniques proposed in JINSIGHT [7], where an execution trace is represented on a *stack-depth*  $\times$  *time-line* diagram in which stack frames are color-coded according to their class. We adapted this representation in two major ways:

**Package-Level Granularity.** Due to the large number of classes present in our traces (724), our representation uses package-based rather than class-based color codes. This is quite flexible since nested packages might be grouped together with their parent depending on the level of granularity required.

**Depth  $\times$  Weight Projection.** Since sample-based profiling does not provide ordering information on the observed stack traces, a time-line representation is not appropriate. Instead, to make the internal layering of the Globus container apparent, we represent each Globus package according to the frequency and the stack depths at which it occurs in the sampled traces.

Figure 6 illustrates our presentation technique based on the toy example of figure 5. The diagrams indicate how often a given package was encountered in the samples at a given stack depth. Two diagrams are shown. The first represents exclusive weights, i.e., only the last invocation of each stack is considered. Here, only the library *lib3* appears, since in all six samples of figure 5, the active thread is executing inside *lib3* at a call depth of 6. As mentioned above, this diagram fails to capture *on behalf of which higher-level packages lib3* is executed. The right hand diagram does just that. It takes into consideration all the frames of all sampled stacks, and presents the packages according to their depth and to the weight of the individual stacks. In this second diagram, the surface of each library in the diagram is proportional to the time spent by the processor in the library or in code called by this library at execution time.

### 3.3. Results

We profiled Globus by having 5 successive resources receive 5 successive remote invocations from the same client,

package/class	count	%
java.*	2625	90%
java.net.*	1974	68%
java.net.PlainSocketImpl.socketAccept	1326	46%
java.net.SocketInputStream.socketRead0	639	22%
java.lang.*	290	10%
java.lang.StringBuffer.*	101	3%
java.lang.String.*	54	2%
java.lang.ClassLoader.*	51	2%
java.lang.Class.*	43	1%
java.lang.Throwable.*	24	1%
java.util.*	218	8%
java.util.zip.*	85	3%
java.util.Hash*	108	4%
java.math.*	94	3%
java.math.BigInteger.*	86	3%
java.io.*	30	1%
org.*	210	7%
org.apache.*	162	6%
org.apache.axis.*	111	4%
org.apache.xerces.*	35	1%
org.bouncycastle.*	23	1%
org.globus.*	22	1%
sun.*	34	1%
cryptix.*	11	0.5%
com.*	15	0.5%
javax.*	1	0%
total	2896	100%

**Table 3. Breakdown of captured samples according to topmost stack frame**

as shown in figure 7. Table 3 shows the breakdown of the samples according to their topmost stack frame, which illustrates where the container spends its execution time. Quite strikingly, most of the container execution time (90%) is spent inside Java standard libraries, with *java.net* making up most of it (68%). In this library, however, most of the time is accounted for by *java.net.PlainSocketImpl.socketAccept*, which is used to wait for client connections rather than being part of the execution path for a client request. For this reason, we removed the corresponding samples from our profiling data in subsequent analysis.

Figure 8 shows the results of container profiling without the *socketAccept* samples using the approach described above in section 3.2; a curve is used rather than a bar diagram for readability reasons. The diagram shows a clear split at stack depth 13 (area 1 in the figure). Nearly 40% of the sampled stacks stop at length 13, which means the program spends 40% of its execution time at this level. Depth 13 is also where we find most of the network activity (*java.net*), i.e., most of the *socketRead0* invocations. On the other side, the remaining stacks have a far more regular and widespread distribution along the stack depth (area 2).



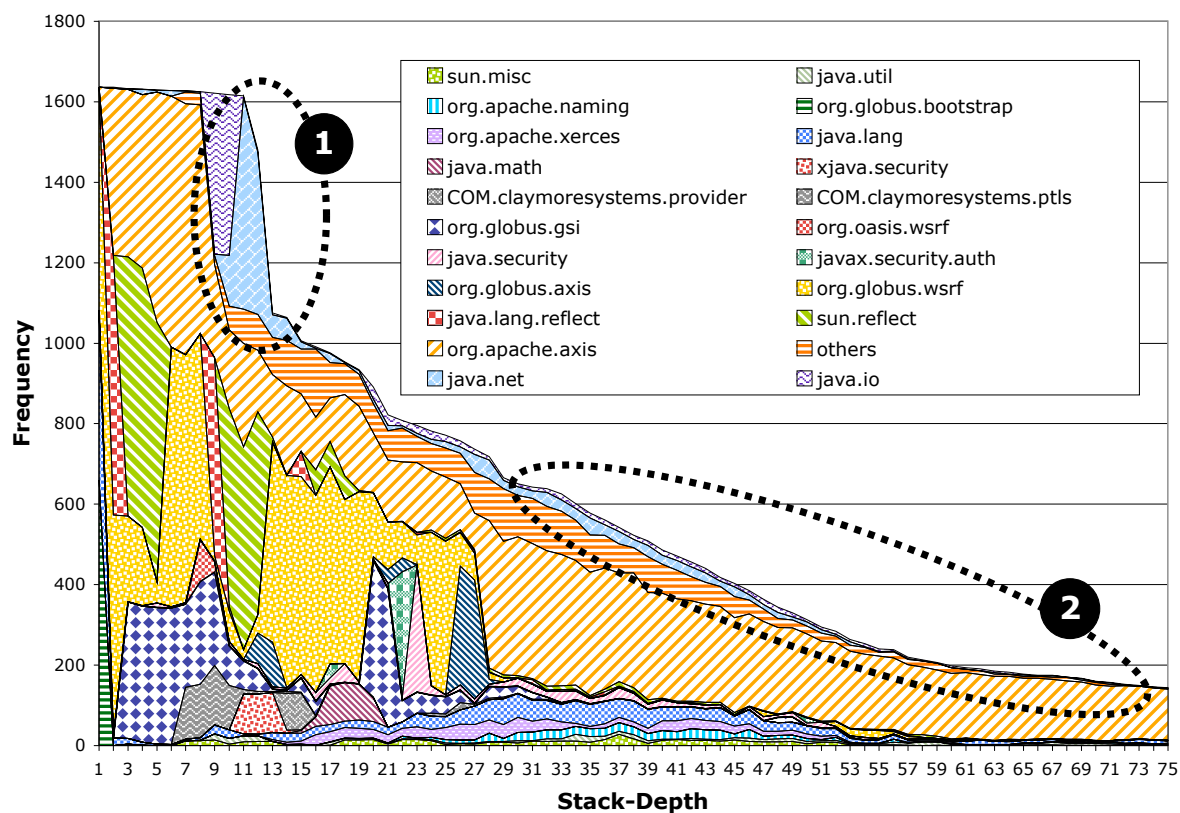


Figure 8. Performance sampling of the Globus container (inclusive)

Note that the package *org.apache.axis*, an open source Java-SOAP engine, is particularly prominent in the two areas as well, although it is found at essentially all stack depths. It also constitutes most of the container activity at stack depths of 60 and beyond.

A closer analysis of the profiling data related to area 1 reveals that the traces that end in *socketRead0* are involved in the handling of the resource state change notifications. As noted above, when sending a notification, the container acts as a client and sends a request to the subscriber of the notification. When in *socketRead0*, the container has already sent this notification and is blocked waiting for the client's answer. Since these notifications are sent asynchronously with respect to client processing, however, the time spent in *socketRead0* is outside the critical path of client requests.

Interestingly, the code used to send notifications in the container is the same as that used in the client to send requests. This suggests that the client probably exhibits the same behavior when waiting for replies from the container. Profiling the client using the same technique confirms this hypothesis, with the results revealing that 62% of the client execution time is spent in *java.net*. Of that time, 40% is spent in *socketAccept* and 21% in *socketRead0*. Since the

size of each SOAP message is only a few kilobytes and the whole experiment executes on a single machine, these high costs are most likely due to time spent waiting for notification messages and responses to requests.

To evaluate the behavior of the container beyond the blocking time in *socketRead0*, we ran the same experiments as in figure 7 but without change notifications. We also split *org.apache.axis* into its subcomponents to obtain more detailed information on its role in the container. The graph corresponding to this second set of experiments is shown in figure 9. These results confirm our observations about notifications, since the shape of the graph closely matches that of the earlier figure without the split at stack depth 13. Two additional observations can also be made, as follows.

**Packages.** Only a subset of the 182 packages found in the sampling data seem to have an actual effect on the execution time. Among them, only three Globus packages are present: *org.globus.axis*, *org.globus.wsrfl*, and *org.globus.gsi*. These three account for 27% of the stack frames represented on the diagram, but disappear after stack depth 27. A look at the distribution of the topmost stack frames confirms that

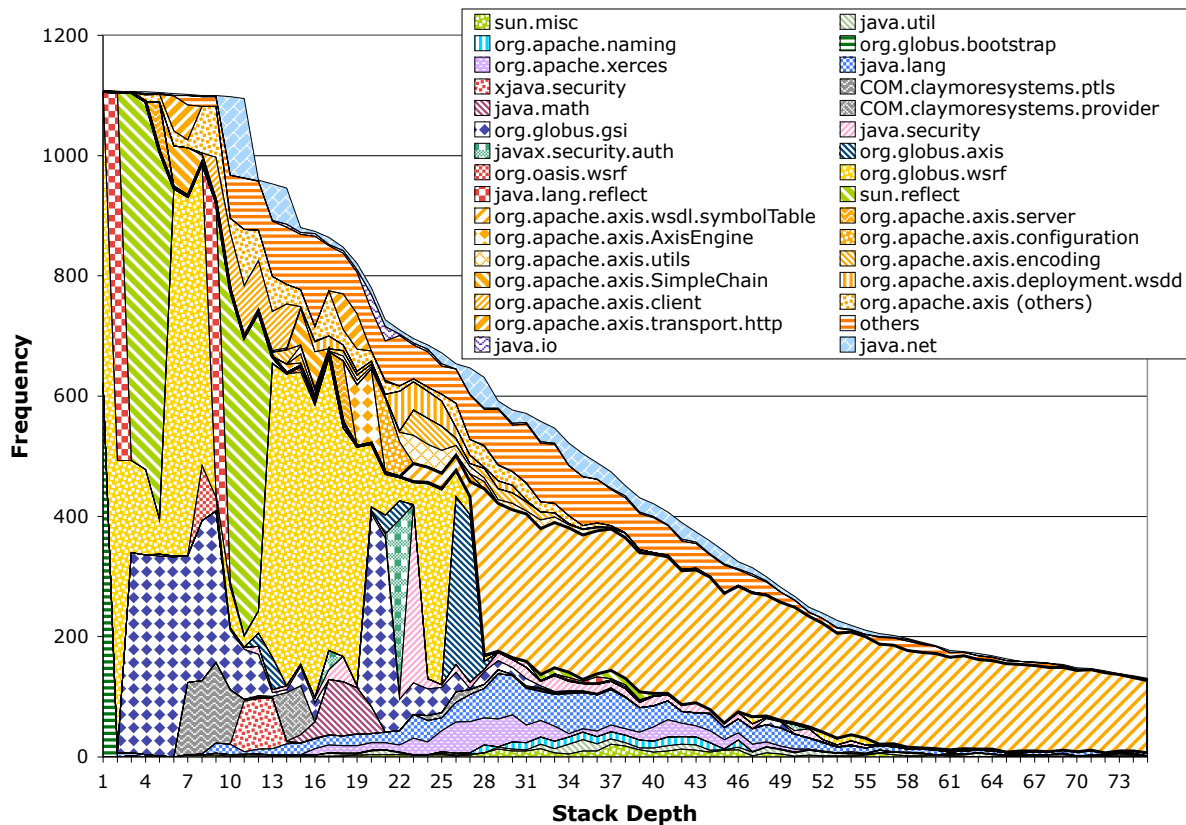


Figure 9. Performance sampling without change notification and *org.apache.axis* split up

the Globus packages account for less than 2% of the direct (“exclusive”) execution time. This means that the poor performance observed in section 2 cannot be attributed directly to the Globus code, but rather is related more to the underlying libraries used by the platform.

**Package Structure.** The diagram shows a clear layered structure for stack depths less than 28, with most packages spanning less than a few depth levels. From depth 28 on however, the relative weight of each package remains quite regular, and as the decreasing slope of the total number of samples for each depth suggests, stacks have been sampled regularly at all lengths from 28 to 75. In this part of the diagram, no strong software structure seems to be reflected in the distribution of the packages across the stack depth. However, the package *org.apache.axis.wsdl.symbolTable* is quite prominent, which upon closer inspection corresponds to recursive invocations of the method *symbolTable.SymbolTable.setTypeReferences*. This hints at some algorithmic issues in WSDL symbol management.

Table 4 gives the package breakdown of samples after removing low-level packages to obtain a higher

level view of the execution cost. This table confirms that *org.apache.axis.wsdl* takes a significant amount of execution time (21%). It also shows that SOAP and XML processing—*org.apache.axis* as a whole and *org.apache.xerces*—have a strong impact on performance (44%). Finally, enforcing security has a non-trivial cost, since the Globus Security Infrastructure (GSI) together with the encryption library *rsa* together account for 30% of the samples.

Package	count	%
<i>org.apache.axis.wsdl</i>	231	21%
<i>org.apache.axis.encoding</i>	66	6%
<i>org.apache.axis (others)</i>	113	10%
<i>org.globus.gsi</i>	249	23%
<i>org.globus.wsrfl</i>	49	4%
<i>cryptix.provider.rsa</i>	82	7%
<i>org.apache.xerces</i>	78	7%
<i>org.bouncycastle.asn1</i>	57	5%
others	180	16%
Total	1105	100%

Table 4. Breakdown of samples

## 4. Conclusions and Future Work

The trend toward integrating aspects of web services into grid computing undoubtedly has performance and usability implications, the full impact of which is yet to be determined. In this paper, however, we have tried to address at least a portion of these issues by reporting on the results of a performance evaluation carried out on Globus 3.9.4, a very recent reference implementation of the new standards.

The main conclusions of our study are twofold. First, the performance of Globus is strongly impacted by lazy initialization, which is used uniformly for multiple types of software abstractions. Second, even the stabilized latencies are quite high, on the order of 160 ms for a simple invocation on a single machine. Overall, these numbers paint a rather pessimistic picture of the performance of Globus and suggest a significant impact from the trend towards web services integration.

While a cause for concern, these conclusions must be viewed both as tentative and as coming with caveats. For one thing, the version of Globus tested is still categorized as an alpha-quality development release and is only the third such release of the major revision of Globus that added web services integration. As a result, that performance is less than optimal is not surprising. Indeed, the overall improvement between the first version we tested (3.9.2) and this one (3.9.4) was noticeable, with a reduction in round-trip time from 460 ms to 160 ms. Whether substantial improvements can still be made, however, remains to be seen.

Furthermore, it is important to note that the main use of Globus to date has been to provide a middleware layer to support the scheduling and coordination of high performance computing jobs across large area networks. From this perspective, overheads on the order of hundreds of milliseconds for stabilized round-trip times or seconds for startup times may not matter if a job runs for minutes, hours, or even days. However, if Globus is to be used as a coordination platform for applications having tighter timing constraints such as would be found for stock trading, health care monitoring, or gaming, then our experiments suggest that such an architectural choice should be considered carefully. The performance impact might also be unacceptable even for high performance grid applications if they need to repeatedly invoke another grid service such as a logging service.

Our future work will focus on deeper investigations into the issues raised in this paper. For example, an important question is whether the measured latencies might be due at least partially to some inherent inefficiencies in underlying technologies such as XML, SOAP, or HTTP. If so, then some major technological shift such as fast XML technology [9] might be needed to improve the situation. Beyond this, our hope is that this work on identifying sources of ex-

ecution overhead can serve as a guide to developers of grid services, as well as suggest areas in which optimization efforts might be profitable.

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